

# Lecture 7–Sept 20: Countability and Uncountability

## Learning Goals

- Learn how to count. Be able to formalize counting using  $\mathbb{N}$  and functions.
- Be able to use induction to prove  $\mathbb{N}$  is infinite.
- Define what it means for a set to be countable or uncountable.
- Be able to prove  $\mathbb{Z}$ ,  $\mathbb{Q}$ , etc are countable.
- Be able to prove if  $\mathbb{R}$  is countable or uncountable.
- Be able to use arrays to prove countability and Cantor diagonalization arguments to show uncountability.
- Define cardinality and power sets.

FRIDAY September 22: HW 3 DUE at **12pm**

---

Let's dive into chapter 2 of Rudin.

## 1 Counting and countability

Question: How do we count?

Answer: \_\_\_\_\_

Recall:  $f : A \rightarrow B$  (Domain into Codomain) associates

Some definitions:

- We write  $f(C) = \{f(x) : x \in C\}$ , \_\_\_\_\_
- $f^{-1}(D) = \{x : f(x) \in D\}$  \_\_\_\_\_
- When  $f(A) =$  the entirety of  $B$  we say  $f$  is **onto**. or surjective:  $\rightarrow$
- When  $f(x) = f(y)$  implies  $x = y$ , we say  $f$  is **one to one** or injective.  $\hookrightarrow$
- When  $f$  is one to one and onto \_\_\_\_\_.

A bijection puts  $A$  and  $B$  into a ‘one to one correspondence.’ We write  $A \sim B$ . Please check that this is an equivalence relation.

*Example 1.1.* An example of a bijection.

**Definition 1.2.** We say  $A$  is finite if  $A \sim \{1, 2, \dots, n\}$  for some  $n \in \mathbb{N}$ . We say  $A$  has cardinality  $n$  and denote it  $[n]$ . Or  $A$  is empty,  $\emptyset$ .

Else we say  $A$  is infinite.

**Definition 1.3.** We say an infinite set  $A$  is countable if  $A \sim \mathbb{N}$ . (Here think  $A = \{a_1, a_2, \dots\}$  has a bijection into  $\mathbb{N}$ ). Else an infinite set  $A$  is uncountable.

*Example 1.4.*     •  $\mathbb{N}$

•

*Definition 1.5.* A **sequence** is a function  $f$  defined on the set  $\mathbb{N}$ . If  $f(n) = x_n$ , for  $n \in \mathbb{N}$  it is customary to denote sequence  $f$  by  $\{x_n\} = x_1, x_2, \dots$ . The values of  $f$  are terms of the sequence.

So a sequence  $x_1, \dots, x_n, \dots$  of distinct terms

•  $\{2, 3, 4, \dots\}$

•  $\mathbb{N} \setminus \{k\}$

**Theorem 1.6.**  $\mathbb{N}$  is infinite.

*Proof.* We'll show there does not exist a bijection  $[n] \rightarrow \mathbb{N}$  by induction on  $n$ .

□

*Example 1.7.* •  $2\mathbb{N}$  (the even numbers)

- $\mathbb{Z}$  is countable
- Hilbert's Hotel: Hilbert's hotel has infinitely many rooms and it has a no vacancy sign up. How can the manager rearrange their customers so that they have vacancies?

**Theorem 1.8.** *Every infinite subset of countable sets is countable.*

*Proof.* sketch Let  $E \subset A$ , where  $A$  is a countable set.

□

*Remark 1.9.* This means that no uncountable set can be a subset of a countable set. Countable sets are the ‘smallest’ infinite set.

**Theorem 1.10.**  $\mathbb{Q}$  is countable.

*Proof.* We need to list  $\mathbb{Q}$  as a sequence, then that sequence can be in one to one correspondence with  $\mathbb{N}$ . Let’s first do  $\mathbb{Q}^+$  then we will use the trick we used for  $\mathbb{Z} \sim \mathbb{N}$  to get all of  $\mathbb{Q}$ .

Why is repetition a non issue?

□

**Theorem 1.11.** *An (at most) countable union of (at most) countable sets is (at most) countable.*

*Proof.* The array above will help us create a countable set. If we have countable sets  $A_1, A_2, \dots$  then we can create an array of their elements.

□

Question: Is  $\mathbb{R}$  countable?

If  $\mathbb{R}$  is not countable then there should be some real number that can't have a decimal expansion produced by a computer program.

Guesses?

**Theorem 1.12** (Due to Cantor 1874).  $\mathbb{R}$  is \_\_\_\_\_.

*Proof.* It is enough to consider the subest  $[0, 1) \subset \mathbb{R}$ .

Things to be careful: Do not always pick 9 as your alternative number since  $1 = .9999\dots$  □

**Definition 1.13.** Give a set  $A$  we let  $2^A$  denote the **power set** of  $A$ . The power set is the set of all subsets of  $A$ , include  $A$  and  $\emptyset$ .

*Example 1.14.* An example of a power set:

**Definition 1.15.** We say  $A$  and  $B$  have the same cardinality if and only if  $A \sim B$ .

**Theorem 1.16** (Due to Cantor 1891.). *For any set  $A$  we have that  $A \not\sim 2^A$ .*

This theorem says  $A$  and  $2^A$  have different cardinalities. In fact when we consider  $\mathbb{N}$ , we see that the power set  $2^{\mathbb{N}} \sim \mathbb{R}$ .

The continuum hypothesis (independent axiom of set theory) states that the first cardinality bigger than  $\mathbb{N}$  is  $\mathbb{R}$ .