

# Lecture 8–Sept 25: Metric Spaces

## Learning Goals

- Be able to define a metric space.
  - Be able to determine if a space is a metric space.
  - Define open and closed sets, interior points, limit points, and isolated points.
  - Be able to use these definitions to show that a set is open or closed.
- 

## 1 Metric Spaces

We want to know how do we define distances between points, between functions, or between genome sequences, etc?

One way is to create a metric space.

**Definition 1.1.** A set  $X$  is a **metric space** if there exists a metric

$$d : X \times X \rightarrow \mathbb{R}$$

such that for all  $p, q \in X$

1.  $d(p, q) \geq 0$  and  $d(p, q) = 0$  iff  $p = q$ ,
2.  $d(p, q) = d(q, p)$ , and
3.  $d(p, q) \leq d(p, w) + d(w, q)$  for all  $w \in X$ .

We say  $(X, d)$  is a metric space.

*Remark 1.2.* If  $(X, d)$  is a metric space then so is  $Y \subset X$  when equipped with the same metric.

*Example 1.3.* Examples of metric spaces:

1.  $\mathbb{R}$  or  $\mathbb{C}$  with  $d(x, y) = |x - y|$ .
2.  $\mathbb{R}^n$  with  $d(\vec{x}, \vec{y}) = \|\vec{x} - \vec{y}\|$  with  $\|\vec{w}\| = \sqrt{w_1^2 + w_2^2 + \dots + w_n^2}$ .
3.  $\mathbb{R}^n$  with  $d(\vec{x}, \vec{y}) = \sum_{i=1}^n |x_i - y_i|$ .

4.  $\mathbb{R}^n$  with the  $L^p$  metric  $d(\vec{x}, \vec{y}) = (\sum_{i=1}^n |x_i - y_i|^p)^{1/p}$ .

5. Let  $X$  be the tree graph and  $d(x, y)$  = the length of the shortest path. Is this a metric?

Question: Given  $d(x_i, x_j)$  is there a tree that produces the same distances?

6.  $X = \{\text{genome sequence}\}$  then  $d(x, y)$  = the number of letters that differ.

7.  $X = \{\text{continuous functions from } \mathbb{R} \rightarrow \mathbb{R}\}$ .

8.  $\mathbb{R}^n$  with entropy distance  $d(\vec{p}, \vec{q}) = \sum P_i \log(\frac{p_i}{q_i})$ . Is this a metric space? Why?

9.  $\mathbb{Q}$  has the  $p$ -adic distance  $d(x, y) = |x - y|_p$ . Let  $p$  be a prime. If  $\frac{a}{b} = p^r \frac{a'}{b'}$  with  $p \nmid a', b'$  then  $|\frac{a}{b}|_p = p^{-r}$ .

Now that we have a way of measuring distances we can talk about what it means for a general set to be bounded.

**Definition 1.4.**  $E$  is **bounded** if there exists  $M \in \mathbb{R}$  and a point  $q \in X$  such that  $d(p, q) < M$  for all  $p \in E$ .

## 2 The topology of $\mathbb{R}^n$

We want to know what elements are close by. So we define the following.

**Definition 2.1.** We define the **ball** or **neighborhood**  $N_r$  or  $B(x, r)$  to be

$$N_r(x) = \{y : d(x, y) < r\}.$$

*Example 2.2.* Some examples of a neighborhood:

The moral is that a neighborhood or ball tells us what's close in a metric space.

**Definition 2.3.** A point  $p \in X$  is a **limit point** of  $E$  if every neighborhood of  $p$  contains a point  $q \in E$ , where  $q \neq p$ . ( $p$  does not necessarily have to be in  $E$ .)

If  $p \in E$  and  $p$  is not a limit point of  $E$  then we say  $p$  is an **isolated point**.

*Example 2.4.*     • Let our set be  $\mathbb{R}$  equipped with the usual metric  $(|x - y|)$  and define

$$E = \left\{ \frac{1}{n} : n \in \mathbb{Z} \right\}.$$

- Let  $\mathbb{R}$  have the discrete metric with the same  $E$  as above.

The discrete metric will often be a counter example to statements in this class.

**Definition 2.5.** We say  $p$  is an **interior point** of  $E$  if there exists a neighborhood  $N$  of  $p$  such that  $N \subset E$ .

**Definition 2.6.** A set  $E$  is **open** if every point is an interior point.

Question: Are balls open?  
Guesses?

**Theorem 2.7.** *Neighborhoods of a point are* \_\_\_\_\_.

*Proof.* Given  $B(x, r)$  we will show  $p \in B(x, r)$  is

□

**Definition 2.8.** A set  $K$  is **closed** if  $k$  contains all its limit points.

*Remark 2.9.* Note a set can be neither open nor closed. It can also be both open and closed.

*Example 2.10.* Examples of closed sets, sets that are neither open or closed, and sets that are both.

- $E = \{\frac{1}{n} : n \in \mathbb{Z}\} \subset \mathbb{R}$  equipped with the usual metric

If we equip  $\mathbb{R}$  with the discrete metric, then  $E$  is

- $\mathbb{Z} \subset \mathbb{R}$  with the usual metric.

- Consider

- Buzzwords: In a complete nonarchimedean space neighborhoods are both open and closed.

**Theorem 2.11.** *If  $p$  is a limit point of a set  $E$ , then every neighborhood of  $p$  contains infinitely many points of  $E$ .*

*Proof.* Proof by contradiction.

□

**Definition 2.12.**  $E$  is dense in  $X$  if every point of  $X$  is a limit point of  $E$  or a point of  $E$  or both.

*Example 2.13.*     •  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .

•  $\mathbb{Z}$  is

### 3 Fun facts

**Definition 3.1.** A set  $E \subset \mathbb{R}^k$  is **convex** if  $\lambda\vec{x} + (1 - \lambda)\vec{y} \in E$  whenever  $\vec{x}, \vec{y} \in E$  and  $0 < \lambda < 1$ .

**Theorem 3.2.** *Balls are convex.*